



Additional FTA Requirements

Agenda item # 9

March 10, 2021



Tri-Valley San Joaquin Valley
REGIONAL RAIL AUTHORITY





Disadvantaged Business Enterprise (DBE) Program

DBE Program Overview

- Certain recipients of FTA assistance must establish a **Disadvantaged Business Enterprise (DBE)** Program.
- A DBE is a for-profit small business:
 - (1) That is at least 51% **owned by** one or more individuals who are both socially and economically disadvantaged; and
 - (2) Whose **management** and daily business operations are **controlled by one or more of the socially and economically disadvantaged individuals** who own it.

DBE Program Objectives

- Ensure nondiscrimination in the award and administration contracts assisted by the United States Department of Transportation (DOT);
- Create a level playing field on which DBEs can compete fairly for DOT-assisted contracts;
- Help remove barriers to DBE participation in DOT-assisted contracts;
- Promote the use of DBEs in all types of federally-assisted contracts and procurement activities conducted by recipients;
- Assist with the development of firms that can compete successfully in the marketplace outside the DBE program; and
- Provide appropriate flexibility to recipients of federal financial assistance in establishing and providing opportunities for DBEs.

Next Steps

- Proposed DBE Program will be presented at the April Board of Directors meeting.
- Valley Link will further develop and revise its DBE Program once it is eligible to receive FTA assistance and it establishes its operational and procurement needs.
- Valley Link's DBE Program, and any significant changes to the Program, must be approved by FTA.



Equal Employment Opportunity (EEO) Program

EEO Program

- **All FTA grantees** – must comply with applicable federal employment discrimination statutes and regulations
- **Add'l Requirements for Agencies with 50-99 Employees** – all of the previous requirements plus must adopt and disseminate an EEO policy, designate an EEO Officer, assess agency's employment practices, and satisfy ongoing monitoring and reporting requirements
- **Add'l Requirements for Agencies with 100 or More Employees** – all of the previous requirements plus utilization analysis of job categories with underutilized or concentration of minorities and women compared to the relevant labor market, and goals and timetables to correct these issues



Miscellaneous Policies & Procedures

Future Policies & Procedures

- **Transit Asset Management (TAM) Plan** – will apply once operations commence
- **Public Transportation Agency Safety Plan (PTASP)** – will apply once operations commence
- **Cyber Security Policy** – will apply if the rail alternative is selected and once operations commence
- **Rail Safety Training and Oversight** – will apply if the rail alternative is selected and once operations commence